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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/779,271	
	Filing Date	February 8, 2001	
	First Named Inventor	MCPHERSON, Alan J	
	Group Art Unit	2644	
	Examiner Name	To Be Assigned	
Total Number of Pages in This Submission	71	Attorney Docket Number	3464-035

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ENCLOSURES (check all that apply)		
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<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
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22440

PATENT TRADEMARK OFFICE

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	WEISZ, Tiberiu Reg No 29,876
Signature	
Date	February 25, 2003

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Signature		Date	February 25, 2003

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Attorney Docket No.: 3464-035

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First-Named Inventor : MCPHERSON, Alan J
Serial No. : 09/779,271
Filing Date : February 8, 2001
Title : APPARATUS AND METHOD FOR DOWN CONVERTING
MULTICHANNEL PROGRAMS TO DUAL CHANNEL
PROGRAMS USING A SMART COEFFICIENT
GENERATOR
Examiner : To Be Assigned
Group Art Unit : 2644

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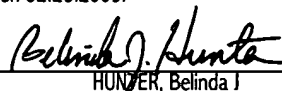
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Assistant Commissioner for Patents
Washington, D.C. 20231

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HUNTER, Belinda J

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §§1.56, 1.97 AND §1.98

S I R :

Pursuant to the duty of disclosure under 37 CFR §§1.56, 1.97. and §1.98, applicant submits herewith an *Information Disclosure Statement* along with cited references listed on Form PTO-1449.

References AA-AD are cited in the *International Search Report* dated January 15, 2003 issued by the Patent Cooperation Treaty organization in counterpart International Patent Application No. PCT/US02/21649 (copy enclosed). Each of these references is indicated in this report as applicable to category A, meaning that these references are found to define the

general state of the art thus having no particular relevance compared to any of the claims of the subject invention. A true copy of this report from the files of the undersigned attorneys of record is also enclosed and is indicated on said list as **Reference BA**.

Inasmuch as the present application has not yet received a first Office Action on the merits in accordance with 37 CFR §1.97(b)(3) and the disclosures enclosed herewith have been cited in a foreign counterpart application not (3) months prior to the filing this paper in accordance with 37 CFR §1.97(e), this information is believed to be timely filed. Accordingly, consideration of the enclosed references and entry into the record are respectfully requested.

It is believed that no fee for filing this *Information Disclosure Statement* is now due. If it is determined that any fee is required, the Commissioner is hereby authorized to charge any such fee to Deposit Account No. 07-1730. A duplicate of this sheet is enclosed herewith.

Dated: February 25, 2003
New York, New York

Respectfully submitted,

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Telephone: 212/684-3900
Telefax: 212/684-3989

By: 

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Reg. No. 29,876

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New York, New York

Respectfully submitted,

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

Complete if Known

Application Number	09/779,271
Filing Date	February 8, 2001
First Named Inventor	MCPHERSON, Alan J
Group Art Unit	2644
Examiner Name	To Be Assigned
Attorney Docket Number	3464-035

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
	AA	US- 3.864.516	02.04.1975	Kameoka et al	See International Search Rot
	AB	US- 5,291,557 A	03.01.1994	Davis et al	See International Search Rot
	AC	US- 6,154,545 A	11.28.2000	Kohut et al	See International Search Rot
	AD	US- 6.252.965 B1	09.26.2001	Beard	See International Search Rot
	AE	US-			
	AF	US-			
	AG	US-			
	AH	US-			
	AI	US-			
	AJ	US-			
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FOREIGN PATENT DOCUMENTS

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	AU					
	AV					
	AW					
	AX					
	AY					
	AZ					

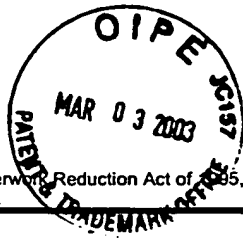
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¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) Sheet <u>2</u> of <u>2</u>		Complete if Known	
		Application Number	09/779.271
		Filing Date	February 8, 2001
		First Named Inventor	MCPHERSON, Alan J
		Group Art Unit	2644
		Examiner Name	To Be Assigned
		Attorney Docket Number	3464-035

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
	BA	International Search Report; corresponding PCT/US02/21649; dated January 15, 2003; Applicant: Warner Music Group Inc; Inventors: AJ McPherson, GH Lydecker	
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¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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